



**DIVISION OF BOATING AND WATERWAYS (DBW)
BOATING FACILITIES DEVELOPMENT AND FINANCING PROGRAMS**

APPLICATION FOR PRIVATE AND PUBLICALLY OWNED MARINA LOAN FUNDING

Prior to completing and submitting this application, please contact DBW at (916) 327-1816. This form must be filled out and submitted to DBW no later than February 1st. Additional instructions/information as well as a fillable version of this form can be obtained from the DBW website at www.dbw.parks.ca.gov Use a separate application for each loan.

USE ADDITIONAL SHEETS AS NECESSARY IN COMPLETING THIS APPLICATION. CROSS-REFERENCE EACH ADDITIONAL SHEET TO THE ITEM NUMBER BELOW.

For DBW Use Only:		Assigned to:
1. DATE SUBMITTED:	2. RECEIVED BY DBW:	APPLICATION NUMBER:

APPLICANT INFORMATION

3. LEGAL NAME OF APPLICANT:	4. TYPE OF APPLICANT: <input type="checkbox"/> City <input type="checkbox"/> Special District <input type="checkbox"/> Private – Inc., LLP 2WKHU <input type="checkbox"/> Other Public Agency (specify): <input type="checkbox"/> County <input type="checkbox"/> Federal Government
5. MAILING ADDRESS OF APPLICANT:	6. CONTACT INFORMATION: Name: Title: Address (if different): Telephone: E-mail:

GENERAL PROJECT INFORMATION

7. NAME OF PROJECT:

8. PROJECT LOCATION AND BODY OF WATER PROJECT IS LOCATED ON: *(Attach a site map)*

9. HAS A DBW REPRESENTATIVE VISITED THE PROPOSED PROJECT SITE? Yes No
(If yes, who and when?)

10. (FOR PUBLICALLY OWNED MARINA)
 (a) HAS A PUBLIC HEARING CONSISTENT WITH FEDERAL LAW OR REGULATION TAKEN PLACE REGARDING THE PROPOSED PROJECT? Yes No
(If yes, when and where?)

(b) IS THERE PUBLIC SUPPORT OR OPPOSITION FOR THE PROPOSED PROJECT? Yes No
(Please describe)

11. (a) DOES THE EXISTING FACILITY MEET CURRENT AMERICANS WITH DISABILITIES ACT (ADA) CODE REQUIREMENTS? Yes No *(If no, identify needed improvements)*
- (b) DO THE PROPOSED PROJECT IMPROVEMENTS HELP THE SITE MEET CURRENT REQUIRED ADA REQUIREMENTS. Yes No *(If yes, how)*
- (c) HAS AN ADA EXPERT BEEN CONSULTED TO ENSURE THE PROPOSED PROJECT COMPLIES WITH ADA REQUIREMENTS? Yes No *(If yes, provide details)*

12. DESCRIBE THE PROBLEM THE PROPOSED PROJECT WILL SOLVE. FOR EXAMPLE, DOES THE PROJECT FIX OR ADDRESS A HEALTH, ACCESS, OR SAFETY ISSUE FOR USERS AT THE FACILITY?

13. DESCRIBE THE IMPORTANCE OR SIGNIFICANCE OF THE PROPOSED PROJECT:

14. DESCRIBE THE TYPES OF BOATING DONE AT THE PROPOSED FACILITY/IMPROVEMENTS:

15. (a) WILL THE PROJECT BE OPEN AND ACCESSIBLE TO ALL PUBLIC RECREATIONAL BOATERS?

(b) DOES THE PROJECT IMPROVE EXISTING OR CREATE NEW PUBLIC ACCESS AT THIS FACILITY?

(c) DOES THE PROJECT PROVIDE ACCESS TO UNIQUE BOATING OPPORTUNITIES?

16. PROPOSED PROJECT COMPONENTS: (Check all that apply.)

- | | | |
|--|---|--|
| <input type="checkbox"/> Electrical | <input type="checkbox"/> _____ # of Existing Slips | <input type="checkbox"/> ADA Path of Travel |
| <input type="checkbox"/> Slope Protection | <input type="checkbox"/> _____ # of Proposed Slips | <input type="checkbox"/> Solar Panels |
| <input type="checkbox"/> Restrooms | <input type="checkbox"/> _____ # of Existing Parking Stalls | <input type="checkbox"/> Wind Turbines |
| <input type="checkbox"/> Project Credit Sign | <input type="checkbox"/> _____ # of Proposed Parking Stalls | <input type="checkbox"/> Charging Stations (Electric Vehicles) |
| <input type="checkbox"/> Guide Piles | <input type="checkbox"/> _____ # of Existing ADA Parking Stalls | <input type="checkbox"/> Gangways |
| <input type="checkbox"/> Fuel Dock | <input type="checkbox"/> _____ # of Proposed ADA Parking Stalls | <input type="checkbox"/> Guest Docks |
| <input type="checkbox"/> Boater Showers | <input type="checkbox"/> Harbor Masters Office | <input type="checkbox"/> Pump Outs |
| <input type="checkbox"/> Security | <input type="checkbox"/> Boat Launch Facility | <input type="checkbox"/> Dry Storage |
| <input type="checkbox"/> Marina Store | <input type="checkbox"/> Boat Hoist | <input type="checkbox"/> Wave attenuator |

(Attach Current and Proposed Slip Mix)

18. (a) INDICATE BELOW THE FEES A PERSON MUST PAY TO BERTH A BOAT IN THE MARINA:

Berthing Rates *(Attach Current Berthing Fees)* \$ _____
 Guests / Transient Rates \$ _____
 Vehicle Parking \$ _____
 Surcharges \$ _____
 Utilities \$ _____
 Other: _____ \$ _____

Other Fee Explanation:

(b) WHAT IS THE CURRENT ANNUAL NUMBER OF SLIP RENTALS AT THE EXISTING FACILITY?
 Total: _____ Current % of Capacity Occupied Annually: _____

(c) WHAT IS THE PROJECTED ANNUAL NUMBER OF SLIP RENTALS AT THE IMPROVED FACILITY?
 Total: _____ Projected % of Capacity Occupied Annually: _____

(d) WHAT WAS THE METHODOLOGY USED TO ARRIVE AT THIS NUMBER?

19. (a) DESCRIBE THE SCOPE OF THE PROJECT TO BE FINANCED WITH DBW FUNDING:

(b) IS THIS PROPOSED PROJECT A PART OF ANOTHER (LARGER) PHASED PROJECT? Yes No
(If yes, explain)

20. LIST ALL PERMITS AND APPROVALS REQUIRED TO CONSTRUCT THE PROJECT:

(A Notice of Exemption from CEQA is NOT an exemption from other regulatory agencies)

21. STATUS OF PERMITS:

A. Permit:

- Not started
- In progress *(Attach copy of app)*
- Date of consideration
- Expected completion
- Approved *(Attach copy)*

B. Permit:

- Not started
- In progress *(Attach copy of app)*
- Date of consideration
- Expected completion
- Approved *(Attach copy)*

C. Permit:

- Not started
- In progress *(Attach copy of app)*
- Date of consideration
- Expected completion
- Approved *(Attach copy)*

SPECIFIC PROJECT INFORMATION

<p>22. REQUESTED FUNDING AMOUNT: \$</p> <p>A. <input type="checkbox"/> PUBLICALLY OWNED MARINA</p> <p>B. <input type="checkbox"/> PRIVATELY OWNED MARINA</p>	<p>23. ESTIMATED PROJECT TIMELINE:</p> <p>Start Date:</p> <p>Completion Date: <i>(Attach schedule, see instructions)</i></p>	<p>24. PROJECT</p> <p><input type="checkbox"/> New <input type="checkbox"/> Previous Year (s) of DBW funding* <i>*(Attach description of year and purpose of funding)</i></p>
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25. ESTIMATED PROJECT COSTS:

<u>Cost Category</u>	<u>Amount</u>
a. Construction	\$
b. Engineering (12%)*	\$
c. Escalation (10%)*	\$
d. Contingency (10%)*	\$
e. Inspection (5%)*	\$
f. Permits (3%)*	\$
g. TOTAL	\$

(Attach itemization of costs that have been expensed on a separate sheet.)
 *(% of construction sub-total)

26. STATUS OF OTHER PROJECT FUNDING SOURCES:
(Attach copy of any funding applications, or approved letters of intent to fund.)

<u>Name of Funding Source</u>	<u>Amount</u>	<u>Status of Funding</u>			
		<u>Applied For</u>		<u>Approved</u>	
a. DBW:	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b. APPLICANT:	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
c. CITY/COUNTY:	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
d. OTHER STATE SOURCES:	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
e. FEDERAL:	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
f. OTHER (specify):	\$	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
g. TOTAL:	\$				

TOTALS in Sections 25 and 26 must equal.

<p>27. STATUS OF PROJECT PLANNING:</p> <p align="center"><u>Completed</u></p> <p>Technical Feasibility Study <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Preliminary Design <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Engineer's Cost Estimate <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Final Design <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>28. ENVIRONMENTAL IMPACT (NEPA/CEQA)</p> <p>Status of NEPA/CEQA Compliance:</p> <p><input type="checkbox"/> Not Started, Expected Completion Date:</p> <p><input type="checkbox"/> In Progress, Expected Completion Date:</p> <p><input type="checkbox"/> Adopted/Approved <i>(Attach copy of app or approval)</i></p> <p>Expected/Determined Level of CEQA Clearance:</p> <p><input type="checkbox"/> Notice of Exemption</p> <p><input type="checkbox"/> Negative Declaration</p> <p><input type="checkbox"/> Environmental Impact Report (EIR)</p> <p><input type="checkbox"/> Unknown</p>
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APPLICANT FINANCIAL INFORMATION (Loan Applicants Only)

29. IDENTIFY SOURCE OF REPAYMENT OF DBW LOAN:

(Attach balance sheets & income and expense statements for the most recent 3 years.)

- | | |
|--|--|
| <input type="checkbox"/> Marina Operations | <input type="checkbox"/> Slip Fees |
| <input type="checkbox"/> Ground Leases | <input type="checkbox"/> Parking Revenue |
| <input type="checkbox"/> Other Explain: | |

30. FISCAL YEAR

- What Months comprise the Applicant's fiscal year: _____ to _____

31. PROVIDE 1 COMPLETE COPY OF THE 3 MOST CURRENT AUDITED FINANCIAL STATEMENTS REFLECTING THE REPAYMENT SOURCE IDENTIFIED IN SECTION 29.

(Attach to the Application, provide link to data, OR provide data on CD)

32. (a) DOES THE APPLICANT HAVE ANY OUTSTANDING SENIOR DEBT SECURED BY THE REPAYMENT SOURCE IDENTIFIED IN SECTION 29? Yes No

(If yes, provide one (1) complete copy of all outstanding debt instruments including financing agreements, lease agreements, and Official statements.)

(b) IS THE APPLICANT IN GOOD FINANCIAL STANDING WITH THE STATE OF CALIFORNIA? Yes No

(c) HAS THE APPLICANT DEFAULTED OR FAILED TO PERFORM ON ANY OF ITS GRANTS OR LOANS IN THE LAST TEN (10) YEARS? Yes No

(If yes, explain)

33. AT A LATER DATE, PRIVATE LOAN APPLICANTS MAY BE REQUIRED TO PROVIDE:

(Do not order an appraisal until discussed with DBW and requested in writing by DBW)

(a) CURRENT APPRAISAL OF PROPERTY.

(b) PRELIMINARY TITLE REPORT

PUBLIC BENEFIT AND ECONOMIC DEVELOPMENT INFORMATION

34. (a) WHAT ARE THE PUBLIC BENEFITS OF THE PROPOSED PROJECT?

(b) WHAT ARE PROJECTED ECONOMIC DEVELOPMENT BENEFITS TO THE SURROUNDING MUNICIPALITIES OF THE PROPOSED PROJECT?

(c) IS THE PROJECT A PART OF A LARGER COMMUNITY DEVELOPMENT PLAN OR STRATEGY?

Yes No, *(If "Yes", please explain and provide a copy of the supporting development plan or master plan.)*

35. (a) IS THE EXISTING FACILITY IN AN UNDERSERVED AREA?

Yes No *(If yes, explain)*

(b) WILL THE PROPOSED PROJECT INCREASE ACCESS OR USAGE BY AN UNDERSERVED PUBLIC?

Yes No *(If yes, explain)*

(c) WILL THE PROPOSED PROJECT PROVIDE ECONOMIC BENEFIT TO THE LOCAL AREA?

Yes No *(If yes, how)*

(d) WILL THE PROPOSED PROJECT INCREASE SERVICE TO BOATERS OUTSIDE THE LOCAL AREA (PROMOTE TOURISM)?

Yes No *(If yes, how)*

OTHER INFORMATION

36. (a) DOES APPLICANT OWN THE PROJECT SITE?

(b) DOES APPLICANT HOLD A LONG-TERM LEASE AGREEMENT ON THE PROJECT SITE? IF SO, WHEN DOES IT EXPIRE?

(c) DOES APPLICANT OPERATE THE SITE THROUGH A CONCESSIONAIRE? IF SO, WHEN DOES THE CONCESSIONAIRE AGREEMENT EXPIRE?

(d) APPLICANT MUST OWN OR CONTROL THE PROJECT AREA AND RIGHTS OF WAY TO AND FROM THE PROJECT AREA. APPLICANT SHALL MAINTAIN THE PROJECT AREA AND ALL IMPROVEMENTS FUNDED BY THE LOAN IN ACCORDANCE WITH DBW MAINTENANCE GUIDELINES FOR THE LIFE OF THE LOAN (30 YEARS FOR PUBLIC, 20 YEARS FOR PRIVATE).

(Provide copies of any related lease agreements, etc. concerning the control and operation of the project site.)

37. (FOR PUBLICALLY OWNED MARINA)
PROVIDE A RESOLUTION FROM THE GOVERNING BODY AUTHORIZING APPLICANT TO APPLY FOR FUNDING.
(sample enclosed)

38. ATTACH COPIES OF ANY INITIAL DRAWINGS OR DESIGNS.

39. IF THE APPLICANT HAS RETAINED AN OUTSIDE ENGINEER, DESIGNER OR OTHER CONSULTANT FOR THE PROJECT, PLEASE PROVIDE THE CONTACT INFORMATION.

Name:
Title:
Company:
Address:
Telephone:
E-mail:

Name:
Title:
Company:
Address:
Telephone:
E-mail:

APPLICANT ACKNOWLEDGEMENT AND SIGNATURE

Under penalty of perjury, I hereby certify that I am an authorized representative of the Applicant, and that I have been authorized by the Applicant by resolution to execute this Application for DBW funding.

AUTHORIZED SIGNATURE:

PRINT NAME AND TITLE:

DATE:

**DIVISION OF BOATING AND WATERWAYS
BOATING FACILITIES DEVELOPMENT AND FINANCING PROGRAM**

APPLICATION INSTRUCTIONS

USE ADDITIONAL SHEETS AS NECESSARY. CROSS-REFERENCE EACH ADDITIONAL SHEET TO THE RESPECTIVE ITEM NUMBER ABOVE.

Download the electronic version of the Application, available at www.dbw.parks.ca.gov. Insert data into the shaded areas. Use your Tab key to go from one shaded area to the next. Use additional sheets if necessary.

1. Enter today's date.
2. For DBW use only.

APPLICANT INFORMATION

3. Provide full, legal name of Applicant.
4. Check appropriate box.
5. Provide mailing address.
6. Provide contact information.

GENERAL PROJECT INFORMATION

7. Provide full, legal name of project.
8. Provide the Project location information, address, and name of body of water project is located on. Attach a site map of the Project on a separate piece of paper.
9. Provide the name of the DBW representatives.
10. Provide public hearing, support, and opposition information.
11. Provide ADA information.
12. Describe the project.
13. Describe the project.
14. Describe types of boating.
15. Confirm needs for water rescue, explain.
16. Describe the project's accessibility.
17. Check appropriate box and fill in as directed.
18. Provide fees, annual boat launches, and projected annual boat launches.
19. Describe the project and phase funding.
20. Identify and provide status of obtaining each required permit.
21. Fill in as directed and check appropriate box.

SPECIFIC PROJECT INFORMATION

22. Total amount of funds requesting.
(A) Check box if Publicly owned marina.
(B) Check box if a Privately owned marina.
23. Attach schedule showing dates of project planning, design, construction, and completion.
24. Are the funds requested new, or a continuation of a previous project? Attach a description of the purpose of prior funding.

25. Estimated project cost. The % is based on construction sub-total.
26. Estimate the project funding sources and amounts. Fill in the name of any other funding sources and Whether funds have been applied for and/or are approved.
27. Check appropriate box.
28. Check appropriate box and fill in as directed.

SOURCE OF REPAYMENT AND NEED FOR FINANCING

(Loans Only)

29. Check appropriate box and describe the revenue source that will be used as repayment.
30. What is the fiscal year?
31. Provide current 3 years of audited financials. Describe any outstanding debt.
32. Describe the applicant's financial standing.
33. Attach current appraisal of property & preliminary title report.

PUBLIC BENEFIT AND ECONOMIC DEVELOPMENT INFORMATION

34. Describe the public benefits of the proposed Project, including how the Project promotes economic development.
35. Will the project develop and enhance public infrastructure in a manner that will attract, create and sustain recreational boating and other amenities in the community and will enhance the quality of life and community amenities for area residents, and any other public benefits?

OTHER INFORMATION

36. Self-explanatory.
37. Self-explanatory.
38. Self-explanatory.
39. Self-explanatory.

APPLICANT ACKNOWLEDGEMENT AND SIGNATURE

To be signed by an authorized representative.

Cross-reference any attached documents to its corresponding item number in the application

MAIL COMPLETED APPLICATION TO:

Division of Boating and Waterways
Boating Facility Development and Financing Programs
Attn: **Keren Dill, Chief**
One Capitol Mall, Suite 500
Sacramento, California 95814